Final results of the OPERA experiment on v_{τ} appearance in the CNGS beam

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Outline

- The OPERA experiment
- * 2015: discovery of $\nu_{\mu} \rightarrow \nu_{\tau}$ appearance
- * New strategy for v_{τ} selection
 - * Final results about $\nu_{\mu} \rightarrow \nu_{\tau}$ appearance:
 - v_{τ} appearance significance improvement
 - + measurement of $\Delta m^2{}_{23}$ and ν_τ CC cross section on lead
 - v_{τ} lepton number observation
- * Final results about $\nu_{\mu} \rightarrow \nu_{e}$ appearance
- On-going analysis

Neutrino Oscillations

- * Neutrinos in the Standard Model:
 - <u>massless</u>, electrically neutral, weakly interacting particles, spin 1/2
 - 3 flavours: v_e , v_μ , v_τ and their antiparticles
 - lepton numbers are conserved
 - neutrino flavours do not change
- * 1957, Pontecorvo: neutrinos could be a state superimposion of two different massive neutrinos
- 1962, Maki, Nakagawa and Sakata: mixing between neutrinos of different flavours

$$\nu_{l} = \sum_{i=1}^{3} U_{l_{i}}^{*} \nu_{i}$$

$$\binom{\nu_{e}}{\nu_{\mu}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{-i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$$

$$\frac{\text{Amospheric } \nu}{\text{SuperK, K2K, MINOS, }} \qquad \text{Chooz, Daya Bay, RENO, T2K, } \\ \text{T2K } \dots & \text{Solar } \nu, \text{ Borex, SuperK, } \\ \text{SNO, KamLAND } \dots & \text{SNO, KamLAND } \dots \end{cases}$$

The atmospheric neutrino anomaly

 T. Kajita, Neutrino'98: Super-Kamiokande discovery of oscillations with atmospheric neutrinos

Ref: Y. Fukuda et al. Evidence for oscillation of atmospheric neutrinos. Phys. Rev. Lett., 81:1562–1567, 1998

- Results confirmed by other experiments (SNO, MACRO, Soudan-2)
- The missing ν_µ must have oscillated into ν_τ or into a new non-interacting "sterile" neutrino ν_x



The OPERA experiment

- * The OPERA experiment (Oscillation Project with Emulsion tRacking Apparatus) was designed to directly observe, for the first time in <u>APPEARANCE MODE</u>, the $v_{\mu} \rightarrow v_{\tau}$ oscillation in a pure v_{μ} beam.
- * The search for direct appearance was based on revealing the short-lived τ lepton produced in v_{τ} charged-current interactions

Channel	BR
$\tau^- \to e^- \nu_\tau \overline{\nu_e}$	17.8%
$\tau^- \to \mu^- \nu_\tau \overline{\nu_\mu}$	17.7%
$\tau^- \rightarrow h^- \nu_\tau (n \pi^0)$	49.5%
$\tau^- \rightarrow 3h\nu_\tau(n\pi^0)$	15.0%

Requirements:

- High energy beam for τ production
- Long baseline for oscillation at the atmospheric scale
- High density and large target mass for statistics
- Micrometric accuracy and resolution to identify τ decays and neutrino interaction kinematics

CERN Neutrinos to Gran Sasso



- Long baseline
 (730 km from CERN to LNGS)
- * $< E_v >$ on target ~17 GeV
- * L/E ~ 43 km/GeV

* $\overline{\nu}_{\mu}$ contamination = 2.1%

* v_e and \overline{v}_e contam. <1%

- * v_{τ} contamination negligible
- Data taking from 2008 to 2012

 $#p.o.t. = 17.97 \cdot 10^{19}$

The OPERA detector



V

Underground location: Gran Sasso Laboratory (10⁶ reduction of cosmic ray flux)

7

The OPERA detector



V

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The OPERA detector



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Target Area Brick walls+Target Tracker

ν

Spectrometer RPC+Drift Tubes

Brick Manipulating System

Emulsion Cloud Chamber





- * 57 films of nuclear emulsion (300 µm thick)
- * 56 lead plates (1mm thick)
- * 8.3 kg
- * 10 X⁰
- * Fast fully automated optical microscopes
- * 3D track reconstruction with **micrometric** resolution



Changeable Sheets Doublet

Two emulsion films, packed in an envelope placed inside a plastic cover, to be removed without opening the brick

- Interface between the brick and the closest downstream TT plane
- Go from Target Trackers resolution (~cm) to the µm spatial resolution of nuclear emulsions
- Predictions about the area to be scanned



- confirm the brick
- reduce scanning load
- ✓ save detector target mass







Main background sources

Signal topology:



Possible backgrounds:

Charmed hadron decays where muon at 1ry vtx is not identified



Reduced by Track Follow-down procedure



Track Follow Down

To separate muons from hadrons, momentum-range correlations are characterized by the discriminating variable D_{TFD}:



$$D_{TFD} = \frac{L}{R_{lead}(p)} \stackrel{<\rho>}{\underset{\rho_{lead}}{\frown}} \stackrel{\text{Is Muon if } D_{\text{TFD}}>0.8}{\underset{\text{Is Hadron if } D_{\text{TFD}}<0.6}{\frown}}$$

v_{τ} Appearance kinematical selection

Variable	$\tau \to 1h$	$\tau \rightarrow 3h$	$ au o \mu$	$\tau ightarrow e$			
$z_{dec}~(\mu m)$	[44, 2600]	$<\!\!2600$	[44, 2600]	$<\!\!2600$			
$p_{miss}^T \ (GeV/c)$	< 1*	$< 1\star$	/	/			
$\phi_{lH}~(rad)$	${>}\pi/2\star$	${>}\pi/2\star$	/	/			
$p_{2ry}^T \ (GeV/c)$	$> 0.6 (0.3)^{st}$	/	> 0.25	> 0.1			
$p_{2ry} \ (GeV/c)$	$>\!\!2$	>3	[1, 15]	[1, 15]			
$ heta_{kink} (rad)$	> 0.02	$<\!\!0.5$	> 0.02	> 0.02			
$m, m_{min} \ (GeV/c^2)$	/	[0.5, 2]	/	/			
Cuts marked with \star are not applied for Quasi-Elastic event							

* p_{2ry}^T cut is 0.3 in the presence of γ particles associated to the decay vertex







2010: the 1st v_{τ} candidate



2010: the 1st v_{τ} candidate



The first 5 v_{τ} candidates



Ζ

1090 µm

PTEP 10 (2014) 101C01

Phys. Rev. Lett. 115 (2015) no.12, 121802

 p_1

 $500 \,\mu \mathrm{m}$

1000 µm

X

Discovery of $\nu_{\mu} \rightarrow \nu_{\tau}$ appearance in the CNGS neutrino beam

Channel	Expected Background	Expected Signal	Observed
$\tau \to 1h$	0.04 ± 0.01	0.52 ± 0.10	3
$\tau \to 3h$	0.17 ± 0.03	0.73 ± 0.14	1
$ au ightarrow \mu$	0.004 ± 0.001	0.61 ± 0.12	1
$\tau \to e$	0.03 ± 0.01	0.78 ± 0.16	0
Total	0.25 ± 0.05	2.64 ± 0.53	5

Probability of background fluctuation = $1.1 \cdot 10^{-7}$ \rightarrow absence of signal excluded with a significance of 5.1 σ



6 OCTOBER 2015

Ref: Discovery of tau neutrino appearance in the CNGS neutrino beam with the OPERA experiment PRL 115 (2015) 121802



Scientific Background on the Nobel Prize in Physics 2015

NEUTRINO OSCILLATIONS

compiled by the Class for Physics of the Royal Swedish Academy of Sciences

Super-Kamiokande's oscillation results were confirmed by the detectors MACRO [55] and Soudan [56], by the long-baseline accelerator experiments K2K [57], MINOS [58] and T2K [59] and more recently also by the large neutrino telescopes ANTARES [60] and IceCube [61]. Appearance of tau-neutrinos in a muon-neutrino beam has been demonstrated on an event-by-event basis by the OPERA experiment in Gran Sasso, with a neutrino beam from CERN [62].

New Strategy for the v_{τ} candidate selection

Goal: estimate the oscillation parameters in appearance mode and v_{τ} properties with reduced statistical error

- * Looser kinematical selection to increase the number of ν_{τ} candidate
- Multivariate analysis: Boosted Decision Tree

New Strategy applied to the final sample:

	Total
p.o.t. (10 ¹⁹)	17.97
0μ events	1197
1μ events $(p_{\mu} < 15 \text{ GeV/c})$	4406
Total events	5603

New Kinematical Selection

Decay vertex	Variable	$\tau \rightarrow 1h$		au o 3h		$\tau \to \mu$		$\tau \rightarrow e$	
definition	variable	OLD	NEW	OLD	NEW	OLD	NEW	OLD	NEW
K	$ z_{dec} (\mu m)$	[44, 2600]	<2600	<2600		[44, 2600] <2600		<2600	
	$\theta_{kink} (rad)$	>0.0	2	$<\!\!0.5$	> 0.02	>0.0)2 >0.0		.02
	$p_{2ry} (GeV/c)$	>2	>1	>3	>1	[1, 1]	5]	[1, 15]	>1
	$p_{2ry}^T ~(GeV/c)$	> 0.6(0.3)	> 0.15	/	/	> 0.25	>0.1	>().1
	$p_{miss}^T \ (GeV/c)$	< 1	/	< 1	/	/		/	/
	$\phi_{lH}~(rad)$	$>\pi/2$	/	${>}\pi/2$	/	/		/	/
	$m, m_{min} ~(GeV/c^2)$	/		[0.5, 2]	/	/		/	/

New Kinematical Selection

Decay vertex	Variable	$\tau \rightarrow 1h$		au ightarrow 3h		$ au o \mu$		$\tau \to e$	
definition	variable	OLD	NEW	OLD	NEW	OLD	NEW	OLD	NEW
	$ z_{dec} (\mu m)$	[44, 2600]	<2600	$<\!\!2$	600	[44, 2600]	<2600	$<\!\!2$	600
	$\theta_{kink} (rad)$	>0.0	2	$<\!\!0.5$	> 0.02	>0.02		>0	.02
	$p_{2ry} (GeV/c)$	>2	>1	>3	>1	[1, 15]		[1, 15]	>1
	$p_{2ry}^T \ (GeV/c)$	> 0.6(0.3)	> 0.15	/	/	> 0.25	>0.1	>().1
	$p_{miss}^T \ (GeV/c)$	< 1	/	< 1	/	/		/	/
	$\phi_{lH}~(rad)$	$>\pi/2$	/	$>\pi/2$	/	/		/	/
	$m, m_{min} ~(GeV/c^2)$	/		[0.5, 2]	/	/		/	/



Short decays now included!

p^{T}_{2ry} cut in $\tau \rightarrow h$ decay channel

- Removing the cut on p^T_{2ry} would lead to an unaffordable increase of hadronic re-interaction background
- * Blind study to optimise this cut
- * Aim: minimize the uncertainty on the product of the Range of $\Delta m^2_{23} \cdot \sigma_{v\tau}$







Best cut: 0.15 GeV/c

Number of expected events

Channel		ν_{τ} Exp.	Observed			
	Charm	Had. re-interaction	Large μ -scat.	Total		
$ au \to 1h$	0.15 ± 0.03	1.28 ± 0.38	—	1.43 ± 0.39	2.96 ± 0.59	6
$\tau \to 3h$	0.44 ± 0.09	0.09 ± 0.03	—	0.52 ± 0.09	1.83 ± 0.37	3
$ au ightarrow \mu$	0.008 ± 0.002	—	0.016 ± 0.008	0.024 ± 0.008	1.15 ± 0.23	1
$\tau \to e$	0.035 ± 0.007	—	—	0.035 ± 0.007	0.84 ± 0.17	0
Total	0.63 ± 0.10	1.37 ± 0.38	0.016 ± 0.008	2.0 ± 0.4	6.8 ± 0.75	10



Monte Carlo simulation normalized to the expected number of events

5 additional v_{τ} candidates



Event 9190097972

Event 11143018505: a peculiar topology



Examples of signal and background distributions



Monte Carlo simulation has been validated comparing its results with the measured ν_{μ} CC interactions when producing:

hadron reinteractions
 (H. Ishida et al., PTEP 2014, 093C01 (2014))

charmed hadron decays
 (N. Agafonova et al., Eur. Phys. J. C (2014) 74: 2986)

* LAS muons

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LAS muons **

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25 20 charm MC charm MC hadron reinteractions ** 18 background MC background MC data 20 data 16 (H. Ishida et al., PTEP 2014, 093C01 (2014)) Kolmogorov-Smirnov test: Kolmogorov-Smirnov test: C.L. 0.219 C.L. 0.069 4 GeV/c 2 GeV/c 25 15 12 Events Events Number of events 20 10 10 10 10 12 14 10 12 14 6 8 4 6 8 Number of nuclear fragments Number of nuclear fragments 00 0 charmed hadron decays 1000 2000 3000 4000 5000 20 40 60 100 ** 0 80 120 140 160 180 Decay length (µm) (degree) 45 (N. Agafonova et al., Eur. Phys. J. C (2014) 74: 2986) 30 charm MC charm MC 40 F background MC background MC data data 25 35 Kolmogorov-Smirnov test: Kolmogorov-Smirnov test: C.L. 0.124 C.L. 0.582 30 20 Events 25 Tracks 20 15 15 10 10 5 5 * LAS muons 0 0 30 50 100 150 200 250 300 350 400 450 500 40 50 10 20 60 70 80 90 100 Impact parameter (µm) Muon momentum (GeV/c) (A. Longhin et al., IEEE Trans. Nucl. Sci. 62, 2216 (2015)) G4 S.W. FF G4 dipole FF

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LAS muons

The Boost Decision Trees method (BDT)

- Multivariate machine learning method to classify observations
- It is based on a "forest" of trees of binary choices
- Sequential series of rectangular cuts split the data into nodes and leaves
- The BDT response is a value between 1 (signal-like events) and -1 (background-like events)

Ref: Hoecker et al. TMVA: Toolkit for Multivariate Data Analysis. PoS, ACAT:040, 2007

Boosted Decision Tree analysis

- * Multivariate methods can help rejecting background
- * Use also events features to evaluate v_{τ} appearance significance

- Different multivariate techniques have been considered and their performances for signal to background discrimination compared
- Best discrimination power is given by BDT

Example: *τ*→h: Kinematical variables

- Input = events surviving the looser selection
- * Signal and Bkg normalized to unity

$\tau \rightarrow$ h: Correlation between variables

Correlation Matrix (background)

τ→h: DBT response

* Signal and Bkg normalized to the number of expected events

BDT Response $\tau \rightarrow 1h$

BDT response for all decay channels

Extended Likelihood

v_{τ} appearance significance

* Likelihood ratio: $\lambda(\mu) = \frac{\mathcal{L}(\mu, \beta_c(\mu))}{\mathcal{L}(\hat{\mu}, \hat{\beta}_c)}$

profiled values of the nuisance parameter β_c, maximizing *L* for the given μ

value of the likelihood at its maximum

* Results:

$$\mu = 1.1^{+0.5}_{-0.4}$$

$$P_{value} = 4.8 \cdot 10^{-10}$$
Significance = 6.10

Δm^2_{23} measurement

$$N_{\nu_{\tau}} = f(\Delta m^2) = \int \Phi(E) \sigma_{\nu_{\tau}}(E) \epsilon(E) \mathcal{P}_{\nu_{\mu} \to \nu_{\tau}}(E) dE \simeq (\Delta m_{23}^2)^2 L^2 \int \Phi(E) \frac{\sigma_{\nu_{\tau}}(E)}{E^2} \epsilon(E) dE$$
$$\mu \propto \sigma_{\nu_{\tau}}^{CC} \cdot \mathcal{P}_{\nu_{\mu} \to \nu_{\tau}}$$

Assumptions: maximal mixing, v_{τ} CC interaction cross section as in Genie v2.6 default

ν_{τ} CC cross section on lead

Until now, v_{τ} +anti- v_{τ} cross section measured only by:

- * DONUT (9 v_{τ} +anti- v_{τ}) (Ref: <u>Phys.Rev. D78 (2008) 052002</u>)
- Super-Kamiokande (Ref: arXiv 1711.09436 (2017))

> OPERA: First measurement with negligible contamination from anti- v_{τ}

$$\langle \sigma \rangle = \frac{\int \Phi_{\nu_{\mu}}(E) \mathcal{P}_{\nu_{\mu} \to \nu_{\tau}}(E) \sigma_{\nu_{\tau}}(E) dE}{\int \Phi_{\nu_{\mu}}(E) \mathcal{P}_{\nu_{\mu} \to \nu_{\tau}}(E) dE}$$
overall efficiency
number of lead
$$\langle \sigma \rangle_{meas} = \frac{(N^{obs} - N^{expB})/(\epsilon N_{T})}{\int \Phi_{\nu_{\mu}}(E) \Phi_{\nu_{\mu}}(E)} \int \Phi_{\nu_{\mu}}(E) \Phi_{\nu_{\mu}}(E) dE$$
in the
fiducial volume

 $\int \Phi_{\nu_{\mu}}(E) \mathcal{P}_{\nu_{\mu} \to \nu_{\tau}}(E) dE$

v_{τ} CC cross section on lead

* Δm^{2}_{23} fixed to PDG value

* Result:
$$\langle \sigma \rangle_{meas} = (5.1^{+2.4}_{-2.0}) \cdot 10^{-36} \text{cm}^2$$

* Default configuration of Genie v. 2.6: $\langle \sigma \rangle_G = (4.29 \pm 0.04) \cdot 10^{-36} \text{cm}^2$

v_{τ} lepton number

- * Lepton number of ν_τ has never been observed
- * Muon decay channel: v can be distinguished from \overline{v} ?
- * CNGS beam: 2% contamination of $\overline{\nu}_{\mu}$ which could oscillate into $\overline{\nu}_{\tau}$
- * Expected $\bar{\nu}_{\tau}$ with $\tau^+ \rightarrow \mu^+$ with misidentified or not measured charge = 0.0024 ± 0.0005

v_{τ} lepton number observation

- * Extended likelihood function
- * Significance of having observed a $\tau \rightarrow \mu$: 3.7 σ
- * Assumption: lepton number is conserved in the neutrino interaction

First observation of v_{τ} lepton number

Summary of OPERA final results on ν_{τ} appearance

- * New strategy for the v_{τ} selection
- * 5603 fully analysed ν events: 10 ν_τ candidates satisfying the looser criteria
- * Multivariate analysis to fully exploit event features
- * v_{τ} appearance significance improved: 6.1σ
- * The number of observed $\nu_\tau\,$ candidates after bkg subtraction is a function of $\Delta m^2{}_{23}\cdot\sigma_{\nu\tau}$
 - * $\Delta m_{23}^2 = 2.7^{+0.7}_{-0.6} \cdot 10^{-3} eV^2$ at 68% C.L. \rightarrow first measurement in appearance mode
 - * $\langle \sigma_{v\tau} \rangle CC = 5.1^{+2.4}_{-2.0} \cdot 10^{-36} cm^2/GeV \rightarrow first measurement ever$
- * First observation of the v_{τ} lepton number with a significance of 3.7 σ

* Paper published on PRL on 22nd May 2018 Ref: Phys. Rev. Lett. 120, 211801

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Physics news and commentary

OPERA's Final Stamp on Neutrino Oscillations

May 22, 2018

The final analysis of data collected by the OPERA experiment improves the precision of measurements of neutrinos oscillating between muon and tau flavors.

Synopsis on:

N. Agafonova *et al.* (OPERA Collaboration) Phys. Rev. Lett. **120**, 211801 (2018) Editors' Suggestion Featured in Physics

Final Results of the OPERA Experiment on ν_{τ} Appearance in the CNGS Neutrino Beam

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(OPERA Collaboration)

OPERA final results for $v_{\mu} \rightarrow v_{e}$ oscillations search

Reconstructed energy distributions of the observed ν_e candidates

No oscillation hypothesis 3 neutrino flavour mixing $v_{e} \rightarrow v_{e} (\overline{v}_{e} \rightarrow \overline{v}_{e})$ observed - beam $v_{p}(\overline{v}_{p})$ observed 10 10 $\rightarrow \nu_{e} (\overline{\nu}_{u} \rightarrow \overline{\nu}_{e})$ ----- expected ----- expected π_{0} τ→е 8 v_e candidates/10 GeV ve candidates/10 GeV 6 6 2 2 ¹10 – 20 20 - 30 30 - 40 0 - 10- 20 20 - 30 30 40 0 - 10 10 - 40 40 - 50 50 '50 '50 Erec (GeV) Erec (GeV)

* $N_{exp} = 31.9 \pm 0.5 \text{ (stat.)} \pm 3.1 \text{ (syst.)}$ * $N_{exp} = 34.3 \pm 0.5 \text{ (stat.)} \pm 3.4 \text{ (syst.)}$ * $N_{obs} = 35$

Ref: *arXiv:1803.11400*

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Final results for $v_{\mu} \rightarrow v_e$ oscillations search

 Results compatible with the no-oscillation hypothesis as well as with the 3 neutrino flavour one

Upper limit: $\sin^2(2\theta_{13}) < 0.43$ at 90%C.L.

 * 3+1 model hypothesis: sin²(2θ_{µe}) = 0.021 for Δm²₄₁ ≥? 0.1 eV² at 90%C.L.

✤ OPERA is the only appearance experiment excluding neutrino mass difference down to 4×10⁻³ eV² Is it all?

On-going

- Annual modulation of cosmic-muon rate
- * Exploit unique feature of identifying all three flavours: use tau appearance, electron appearance and muon disappearance at the same time

* Open Data at CERN

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environments <u>CMS</u> documentation <u>LHCb</u>	

Thank you for your attention